

Genetics of Chronic Obstructive Pulmonary Disease- A Literature Review

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ABSTRACT The chronic obstructive pulmonary disease (COPD), a respiratory disease characterized by the limitations in the airflow in the lungs, comprises about 5 percent of global mortality annually. The main objective of the present review is to focus on the genetic etiology of COPD. The literature analysis of the genetics of COPD involves the prediction of the influence of various genes in COPD, such as *SERPINA1*, *ADRB2*, *TGFBI*, *TNF*, *GSTM1*, *GSTP1* and *EPHX1*. The literature survey has been carried out by using the standard scientific databases and search engines and the articles of the last twenty years have been collected for the data accumulation. On the elaborate consideration, COPD occurrence has been observed to be highly influenced by the two genes *SERPINA1* and *ADRB2*, rather than the other genetic polymorphisms. The present review might be useful for the easier depiction of significant genes involved in COPD occurrence.

INTRODUCTION

The chronic obstructive pulmonary disease (COPD), which comprises chronic bronchitis and pulmonary emphysema together, is a disease that affect lungs, resulting in breathing difficulties (American Lung Association 2020; Ferri 2016). The obstruction in air passage is due to inflammation in the alveolar sacs, which leads to pulmonary emphysema and whereas, the damages in bronchioles result in chronic bronchitis (Lozano et al. 2012). COPD accounts for the leading cause of mortality rate in the United States, and worldwide according to the survey conducted by the World Health Organisation (WHO) in 2018 and American Lung Association in 2020 (American Lung Association 2020; Duffy and Criner 2019; WHO 2018). COPD has been regarded as the silent killer in most of the developing countries. Approximately 328 million people are affected by COPD and it has been expected to be the foremost leading cause of deaths in the next 15 years (Eisner et al. 2011; Reubi et al. 2016; WHO 2018). COPD accounts for about 5 percent of deaths worldwide and among that 5 percent, approximately 90 percent was found to be in low

and middle-income countries (Mathers and Loncar 2006). In India, a study conducted in 2016, showed that the occurrence of COPD has been estimated to be about 55.3 million people and it has been a crude estimation of 8.7 percent out of total deaths in India (Global Burden of Disease Study 2018).

Even though the major etiology of COPD has been associated with environmental factors like tobacco smoking, a genetic basis has also been found to have a prominent association in COPD occurrence (Gupta et al. 2013). Along with the risk factors, COPD also contributes to various problems in human organs, which have been tabulated in briefly in Table 1. Various genes like, *CAT*, *GSTT1*, *ADRB2*, *TGFBI*, *TNF*, *GSTM1*, *GSTP1*, *EPHX1*, *SOD3*, *NFE2L2*, *KEAP1*, *OGG1*, *XRCC1*, *XRCC3*, *XRCC4*, *XRCC5*, *CDKN1A*, and *p53* has been associated with COPD (Yeo et al. 2018) and few of these genes are considered for the following literature analysis. This review primarily highlights the genetic etiology of COPD and also the related genes, which are critically evaluated.

Objectives

The current literature review has been framed with an ultimate objective of analyzing the role of

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Table 1: Major consequences of COPD

<i>Organ affected</i>	<i>Consequences</i>	<i>References</i>
Heart	Increases the risk for cardio-vascular disease	Crisan et al. 2019; Morgan et al. 2018
Skeletal Muscles	Muscle dysfunctioning	Jaitovich and Barreiro, 2018; Gea et al. 2015
Lungs	Worsens the lung cancer and decreases the rate of survival in lung cancer patients	Parris et al. 2019; Wang et al. 2018; Dai et al. 2019
Bones	Increases the risk for osteoporosis condition	Sarkar et al. 2015
Multiple organs of the body	Increases the risk for metabolic syndrome, which increases risk for cardio-vascular disease, stroke and diabetes	Ballantyne et al. 2008

Source: Author

genetic polymorphism of certain genes like *ADRB2*, *TGFBI*, *TNF*, *GSTMI*, *GSTP1*, *EPHX1* in causing the abnormalities in lungs, leading to COPD. The considered genes might be a reason, in which the contribution may be direct or indirect in causing COPD. The possible occurrences of COPD etiology with a genetic basis may pave a novel path in treating the COPD, which is currently not curable in nature. Therefore, the main objectives of this review are to emphasize and discuss the involvement of genetic polymorphisms of seven genes considered in COPD and its evolution.

METHODOLOGY

About, more than 945 articles regarding COPD and the genetic interlink between COPD and the considered genes were analyzed from the authorized scientific databases including PubMed search, Nature, Springer, Elsevier, Wiley, Science, Science Direct. The articles or their abstracts were screened and scrutinized for the appropriate content. The articles were searched by using the keywords, COPD, genetic polymorphism, respective gene names and lung disorders. The research works, which were published for the past twenty years were scrutinized and the analysis was drafted in this literature review.

OBSERVATIONS AND DISCUSSION

Pathophysiology of COPD

The two diseases comprising COPD make the person difficult to respire normally. The alveoli are the parts of the lungs, in which the respiratory

gas exchange takes place between the lungs and the bloodstream flowing through the body (Braghiroli et al. 2020; Hogg and Timens 2009). Chronic bronchitis is the clinical condition, in which the alveolar sacs are damaged or destroyed; this state leads to an improper exchange of respiratory gases in the lungs. The bronchioles are branches of the respiratory tract passageway (Kyra and Wright 2016). The bronchioles lead to the small sized-air sacs called alveoli that favor the exchange of the respiratory gases. In the case of pulmonary emphysema, the wall of alveoli gets inflamed and damaged; hence, the to and fro movement of the respiratory gases becomes difficult making the individual struggle for breathing (Hogg and Timens 2009).

Genetics of COPD

The cause of COPD varies with age and genetic makeup of the person. Few studies have suggested that the risk of COPD increase in smokers when compared with the non-smokers of the same age and gender. But, approximately only 20 percent of the smokers are prone to COPD, whereas the remaining 80 percent of the cause lies in the genetic makeup of the person (Yohan 2012; Terzikhan et al. 2016). The genes that have been associated with COPD have been numbered to be more than 86. Among the 86 genes, seven genes were found to be more related to the occurrence of COPD (Yohan 2012). Those seven genes such as Serpin Family A Member 1 (*SERPINA1*), Adrenoceptor beta 2 (*ADRB2*), Transforming Growth Factor Beta 1 (*TGFBI*), Tumor Necrosis Factor (*TNF*), Glutathione S Transferase Mu 1 (*GSTMI*), Glutathione S Transferase Pi 1 (*GSTP1*) and Epoxide Hydrolase 1 (*EPHX1*) are concisely discussed in this review paper.

SERPINA1 Gene

The *SERPINA1* belongs to the serpin family and it encodes for a protein called alpha 1 antitrypsin, which is a serine protease inhibitor. The mutations in the *SERPINA1* gene would result in the abnormally lower ranges of alpha 1 antitrypsin in the circulation. The prominent role of alpha 1 antitrypsin is the protection of the lungs, from protease enzyme, neutrophil elastase, which is secreted during inflammation of the lung tissue (Luisetti and Seersholm 2004; Dickens and Lomas 2011). The lack of alpha 1 antitrypsin due to *SERPINA1* gene mutation makes the lungs more vulnerable to the infection and damage by the body's own protease enzyme, neutrophil elastase. Pulmonary emphysema is the most common clinical condition in the lungs, diagnosed with *SERPINA1* gene mutations and alpha 1 antitrypsin deficiency (Dickens and Lomas 2011; Silverman and Sandhaus 2009).

A novel mutation has been reported by Darren et al. (2012) in the *SERPINA1* gene that modifies the amino acids of alpha 1 antitrypsin protein at T379 by frameshift mutation. A deletion of 49 base pairs results in the failure of alpha 1 antitrypsin synthesis (Darren et al. 2012). Insufficient alpha 1 antitrypsin has been found to be a risk factor genetically, that causes COPD in the earlier ages of 80 percent cases (Lomas and Silverman 2001; Denden et al. 2010). A recent analysis by Deng et al. (2017) has suggested that a mutation in the *SERPINA1* gene, variation at the location rs8004738, has been associated with a higher risk for the development of COPD (Deng et al. 2017). Another study led by Thun et al. (2013), has also supported the evidence of single nucleotide polymorphism in rs8004738 location, which is associated with COPD, but the study also added that alpha 1 antitrypsin levels were not significantly influenced by this specific polymorphism (Thun et al. 2013). Another specific single nucleotide polymorphism at rs112458284 in the *SERPINA1* gene has been found to contribute to COPD origin (Busch et al. 2017).

ADRB2 Gene

A beta-2-adrenergic receptor is a product encoded by the *ADRB2* gene, which belongs to the family of G-protein coupled receptor (Johnson

2006). *ADRB2* is an intronless gene; which means that the whole gene contains exons that can be efficiently used in gene function and evolution analysis (Online Mendelian Inheritance in Man 2019). This receptor presents itself in smooth muscle cells of the respiratory tract; the elevation in the level of expression of the *ADRB2* gene has been found to be highly associated with COPD (Selivanova et al. 2012).

Few studies have suggested that single nucleotide polymorphisms in the *ADRB2* gene like rs1042713 location favor the conversion of amino acid arginine to glycine that contributes to the COPD occurrence (Cao et al. 2009; Wang et al. 2011; Wang et al. 2018). The amino acid glutamine conversion into glutamic acid has been observed in the mutants with rs1042714 polymorphism. The single nucleotide polymorphism in rs1042713 and rs1042714 in the *ADRB2* gene influences the synthesis of the gene, as well as the protein synthesis, get altered. This contributes to the origin of COPD effectively (Ma et al. 2006; Shi et al. 2008; Wang et al. 2012). The functional mutation in the *ADRB2* gene at rs1265477 location has been reported to have a significant association with a higher risk for COPD (Li et al. 2018). The most common mutations in the *ADRB2* gene that has been linked with COPD are heterozygous allele that favors the conversion of glutamine to glutamic acid, glycine to arginine and threonine to isoleucine. Among these three mutants, threonine to isoleucine has a higher contribution towards COPD risk and origin (Thomsen et al. 2011). The specific pathway which contributes to the progression and *ADRB2* association with COPD remains undetermined.

TGFBI Gene

The *TGFBI* gene encodes transformation growth factor-beta 1 protein that has various important functions in the human body. The functions including, cell division, maturation of cells as well their differentiation process, the movement of cells and the programmed cell death are being regulated and controlled *TGFBI* gene (Ghadami et al. 2000; Vaughn et al. 2000). The *TGFBI* gene is also implicated in inflammatory responses of the immune system (Fujio et al. 2016). A study on mice suggested that the inhibition of expression of the *TGFBI* gene avoids the lung to get inflam-

mation, followed by the prevention of pulmonary edema (Pittet et al. 2001). The emphysema may be caused due to the dormant activation of the *TGFB1* gene that alters macrophage metallo elastase enzyme (Morris et al. 2003).

A significant relationship between the COPD and *TGFB1* gene has been found in the polymorphs at and near three different locations of the *TGFB1* gene such as rs2241712, rs2241718, rs6957 (Celedon et al. 2004). Few studies have suggested that single nucleotide polymorphism at rs1800469 in the *TGFB1* gene is associated with the asthma phenotypes (Celedon et al. 2004; Silverman et al. 2004). Another study by Ito et al. (2008) also added evidence that rs1800469, rs2241712, rs6957 polymorphism in the *TGFB1* gene are associated with severe COPD progression (Ito et al. 2008). A study by Sun et al. (2015) contrastingly suggested that rs1800469, rs2241712 and rs6957 have not been associated with COPD. The polymorphism at rs1982073 in this gene causes proline to leucine transformation that has been found to have a positive correlation with the increased risk of COPD (Sun et al. 2015).

TNF Gene

The *TNF* gene encodes for the protein, tumor necrosis factor-alpha (TNF- α) that involves mainly in the regulation of immune responses. The protein also involves in the regulation of cell differentiation, cell division and programmed cell death (Victor and Gottlieb 2002). Few studies suggested that the increased expression in TNF- α factor showed higher inflammation and pulmonary emphysema occurrence in the mice (Lundblad et al. 2005; Vuilleminot et al. 2004). A study by, Gingo et al. (2008) have suggested that polymorphism in the *TNF* gene at position 308 has increased the susceptibility of individuals to COPD as well as increases the receptiveness of smoking in them (Gingo et al. 2008). A recent study conducted by, Resendiz-Hernandez and his colleagues in 2018, gave the outcome that single nucleotide polymorphisms at the locations in rs1800629 and rs361525 of promoter regions in *TNF* gene elevates the possibility of acquiring COPD (Resendiz-Hernandez et al. 2018).

Another study conducted by Zhan et al. (2010) concluded that TNF- α 308 polymorphism is a considerable factor in increasing the risk for COPD (Zhan et al. 2010). Additionally, the TNF- α

factor has been found to increase the susceptibility of smoking habits in individuals (Gingo et al. 2008). Contrastingly, a few studies suggest that the single nucleotide polymorphism of the TNF- α 308 position has no significant association with COPD severity or pulmonary inflammations. Smoking induced COPD and the genetically polymorphic occurrence of COPD have been found to have no significant variation (Patuzzo et al. 2000; Ozdogan et al. 2014). The COPD medications have been included with TNF- α inhibitors, which strongly suggests that TNF- α has a strong relationship with COPD progression even though the genetic basis is still not yet determined (Matera et al. 2010).

GSTMI Gene

The protein, glutathione S-transferase mu 1 is being encoded by the *GSTMI* gene in humans. The protein encoded by the *GSTMI* gene is one of the soluble proteins that belong to the mammalian glutathione S-transferase family (National Center for Biotechnology Information 2019). The polymorphisms in the *GSTMI* gene have been found to be a higher risk factor in the individuals for the progression of COPD (Cheng et al. 2004; Faramawy et al. 2009). Lakhdar et al. (2010) conducted a study on the null alleles of the *GSTMI* gene and concluded that the variations contributed to COPD (Lakhdar et al. 2010). A study has suggested that the presence of single nucleotide polymorphisms in the *GSTMI* gene was significantly elevated in COPD patients (Shukla et al. 2011). A study by Rabab et al. (2015) supported the previous study by examining the COPD patients for null alleles in the *GSTMI* gene (Rabab et al. 2015).

The liver and the lungs of the human body express the *GSTMI* gene. A study has reported that, among the pulmonary emphysema and lung cancer patients in European populations, 50 percent of the patients have been diagnosed with a deletion of the *GSTMI* gene homozygous alleles (Sandford and Silverman 2002). Contrastingly, the *GSTMI* gene polymorphisms have not been found to be associated with COPD, in Korean populations (Yim et al. 2000). The null allele polymorphism in the *GSTMI* gene along with mutations in the *GSTP1* gene contributes to the increased risk of COPD, even though, the *GSTP1*

gene mutation alone did not show significant susceptibility towards COPD (Xue et al. 2012; Ding et al. 2019).

GSTP1 Gene

The *GSTP1* gene encodes an enzyme named as glutathione S-transferase P. The major function of this enzyme is the detoxification process. The kidney and lungs of the human body express the *GSTP1* gene (Tew et al. 2011). Imboden et al. (2007) examined a single nucleotide polymorphism of the *GSTP1* gene leading to isoleucine to valine transformation in COPD patients and suggested that the polymorphisms in the *GSTP1* gene alone do not contribute to COPD, but the *GSTP1* gene variations have been found to be associated with COPD (Imboden et al. 2007). The single nucleotide polymorphism studied at the location of rs1695 in the *GSTP1* gene has shown considerable variations in COPD patients (Thiago et al. 2017). The polymorphic presence in the fifth exon of the *GSTP1* gene has been suggested to influence the pathogenesis of COPD. The transformation of the Ile150Val genotype was found to be highly associated with the occurrence of COPD (Cheng et al. 2004). Another study suggested that Ile105Val homozygous transformation in the *GSTP1* gene may increase the protection against COPD (Sukru Aynacioglu et al. 2003).

A study by Lakhdar et al. (2011) has suggested that the single nucleotide polymorphisms in the *GSTP1* gene have a prominent role in the decline of the functioning of the lungs and the progression of pulmonary emphysema and COPD (Lakhdar et al. 2011). Another study reported that smokers have been associated with polymorphisms in the *GSTP1* gene when compared with non-smokers. Since smoking of tobacco is the major factor influencing COPD, the role of the *GSTP1* gene in COPD is to be found to be determined in smokers. The habit of smoking has observed to have an association in inducing the *GSTP1* gene polymorphisms, which in turn contributes to COPD development (Lakhdar et al. 2011; Tarek et al. 2016).

EPHX1 Gene

The *EPHX1* gene encodes for epoxide hydrolase enzyme, which plays an important function in the epoxide degradation (Vaclavikova et al.

2015). The detoxification of carcinogens released during tobacco smoking is being carried out by the expression of the *EPHX1* gene (Chikako et al. 2006). A study investigated the association between single nucleotide polymorphism at rs1051740, in the *EPHX1* gene and COPD, and the findings have also suggested that polymorphism in rs1051740 increases the risk and susceptibility to COPD (Akpárova et al. 2017). Hu et al. (2008) studied the association of various mutants in the *EPHX1* gene with the occurrence and progression of COPD. The study suggested that the heterozygous expression of the *EPHX1* gene at position 139, was beneficial, which protected the lungs against COPD (Hu et al. 2008).

The minimal activity of the *EPHX1* gene results in an elevated possibility of acquiring COPD. Another study suggested that the combination of the *EPHX1* gene mutation along with the *GSTM1* gene mutation decreases the function of the lungs and the respiratory capacity is significantly reduced. These polymorphisms influence the COPD occurrence (Hu et al. 2008; Lakhdar et al. 2011). Further, a contrast report has been given by Lee et al. (2010) as the *EPHX1* gene polymorphism, which reduces the activity of the epoxide hydrolase enzyme are not associated highly with the COPD arise in the individuals, but the effects can be negligible (Lee et al. 2010). The single nucleotide polymorphisms in the sites such as rs1051740 and rs2234922 of the *EPHX1* gene has been observed to be a risk factor for COPD, as the *EPHX1* gene has been found to have a role in protecting the lungs (Vaclavikova et al. 2015).

The particular study has focussed only on the seven genes associated with COPD and the considered seven genes have shown an association with COPD to a particular extent. Among the seven genes, the *SERPINA1* gene directly found to be involved in the COPD disease progression, whereas the shreds of evidence also show that, the *ADRB2* gene is positively associated with COPD. *TGFB1*, *TNF*, *GSTM1* and *EPHX1* genes are being less significantly associated with COPD when compared to *SERPINA1* and *ADRB2* genes. The *GSTP1* gene has been suspected to be linked with COPD.

With these available studies, the single nucleotide polymorphisms in these seven genes, *SERPINA1*, *ADRB2*, *TGFB1*, *TNF*, *GSTM1*, *GSTP1*,

and *EPHX1* directly or indirectly contribute to the occurrence or progression of COPD. From the above observations, it has been known that, the genetic polymorphisms of *SERPINA1* and *ADRB2* genes contributes to the COPD occurrence, progression and worsening of the condition. Figure 1 depicts the typical mechanisms of the considered

seven genes in the human body and their altered pathways, which leads to the disease.

CONCLUSION

With these available studies, the single nucleotide polymorphisms in these seven genes, *SER-*

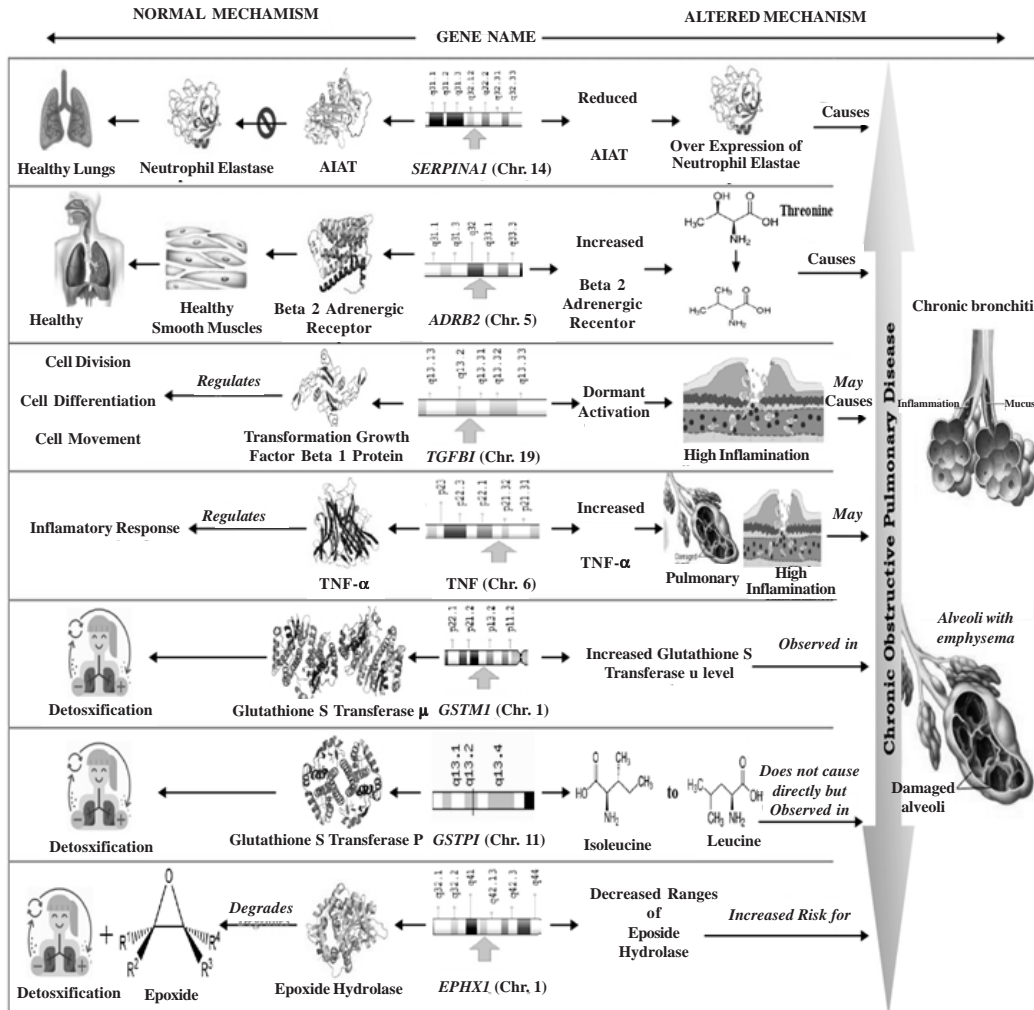


Fig. 1. Schematic representation of the involvement of genes in COPD occurrence
 Source: Authors

The genes *SERPINA1*, *ADRB2*, *TGFBI*, *TNF*, *GSTM1*, *GSTP1* and *EPHX1* encodes for alpha 1 antitrypsin, beta 2 adrenergic receptor, transformation growth factor beta 1 protein, TNF α , glutathione S transferase μ , glutathione S transferase P, epoxide hydrolase respectively. The alterations in the encoded enzymes result in alterations in the normal functioning of the lungs, resulting in lung disease or increased risk for lung disease.

PINAI, *ADRB2*, *TGFB1*, *TNF*, *GSTM1*, *GSTP1*, and *EPHX1* directly or indirectly contribute to the occurrence or progression of COPD (Fig. 1). Among the seven genes considered, based on the available shreds of evidence, it has been depicted that the *SERPINA1* and *ADRB2* genes were highly correlated with the COPD whereas, the other five genes, *TGFB1*, *TNF*, *GSTM1*, *GSTP1*, and *EPHX1* had both positive as well as negative correlations with COPD; but, the positive association was found to be higher, which indicates that the presence of polymorphisms in those genes either directly contributes to COPD or indirectly increases the risk for the disease. Hence, in this literature review, it has been concluded that all the seven genes considered in the study have a significant contribution to increasing the risk and for the occurrence of COPD.

RECOMMENDATIONS

The further studies can be done elaborately on the *SERPINA1* and *ADRB2* genes, which are more significantly correlated with COPD occurrence. The elaborate studies on the presence of the single nucleotide polymorphisms in these two genes along with the analysis of the sequence alterations would lead a pathway for drug development and the treatment of the disease.

ABBREVIATIONS

COPD – Chronic Obstructive Pulmonary Disease;
SERPINA1 – Serpin Family A Member 1;
ADRB2 – Adrenoceptor beta 2;
TGFB1 – Transforming Growth Factor Beta 1;
TNF – Tumor Necrosis Factor;
GSTM1 – Glutathione S Transferase Mu 1;
GSTP1 – Glutathione S Transferase P1 1;
EPHX1 – Epoxide Hydrolase 1;
TNF-α – tumor necrosis factor

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